

PROGRAM REVIEW FOR CENTERS AND INSTITUTES
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1. Cover Sheet

- ***Center/Institute:*** Center for Corporate Reporting and Governance
- ***Director/Administrator:*** Dr. Vivek Mande, Director & April Morris, Co-Director
- ***College/Academic unit:*** Mihaylo College of Business & Economics; School of Accounting
- ***Contact Information:*** Phone #: (657) 278-4414, Email: ccrg@fullerton.edu, Building location: SGMH 4357
- ***Year Established:*** 2003
- ***Year of Last Review:*** 2016
- ***Date the review is being submitted:*** July 10, 2023
- ***Name of primary authors if different***
From Director/Administrator: Channet Nay

2. Mission and Goals

The Center for Corporate Reporting and Governance (CCRG) states its mission as: “to advance high quality corporate reporting and governance through education, research and collaboration with the business community”. The Center also develops relationships with accounting firms, providing opportunities for student placement. In addition to serving as a resource for students, the Center’s activities have greatly increased the visibility of the School of Accountancy, helped secure fellowships for its faculty through its connections with the accounting firms, and most importantly, helped the School maintain its separate accreditation. The Center’s mission is linked with the University’s goals to expand connections within our region and to increase external support for University programs and priorities.

3. Activities

Activities of the Center include the following high-profile events in Orange County: (1) an annual SEC Conference, (2) an annual sponsored academic conference-(3) networking opportunities for students and (4) research focused on Orange County issues.

In the future, the Center expects to continue to expand its outreach efforts, increase its research activities and continue to build on the success of past events.

Student involvement in the Center’s activities is very high. Research performed is generally assisted and managed by students. The academic conference is attended by over 100 CSUF *Master of Accounting* and Accounting students, who benefit from hearing about financial reporting and governance from 6-10 leading academics invited by the Center from prominent universities around the world, including Cornell University, UCLA, UC Berkeley, Tel Aviv University and more.

Over 100 undergraduate students attend the SEC Conference and benefit from hearing from over 25 leading experts from regulators and from experts in the field. This is indeed a one-of-a-kind experience for our students, providing them with an edge over students from our competitor schools. Additionally, many students volunteer to work during the events, increasing their exposure to key decision makers within accounting firms and local businesses.

Students are also provided the opportunity to network with their prospective employers. The Center has helped establish valuable relationships with prospective employers which in turn benefits students seeking high-quality jobs in accounting.

Conference Summary						
	Conference Dates		Location	Keynote Speakers	# of Conference Participants and attendees	
	Haskell White	& SEC			Haskell White	& SEC
2016	9/15/16	9/16/16	Hotel Irvine	Glenn W. Welling, Founder/ Chief Investment Officer, Engaged Capital James G. Conroy, President/CEO, Boot Barn	110	365
2017	9/14/17	9/15/17	Hotel Irvine	Alexis Crow, Geopolitical Investing Team Lead, PWC Marc Siegel, Board Member, FASB	110	380
2018	9/20/18	9/21/18	Hotel Irvine	Hester Peirce, Commissioner, SEC Michael Mussallem, Chairman/CEO, Edwards Lifesciences Jason Bond, Practice Fellow, FASB	110	400
2019	9/19/19	9/20/19	Hotel Irvine	Marie Myers CFO, UiPath David Cieslak, Chief Cloud Officer/EVP, RKL eSolutions LLC	110	500
2020	9/24/2020	9/25/2020	Zoom Webinar	Diane Swonk, Chief Economist, Grant Thornton LLP Elad Roisman, SEC Commissioner	120	525
2021	9/23/2021	9/24/2021	Zoom Webinar	Hester Peirce, SEC Commissioner Roslyn Brooks, U.S. Public Policy Leader, PWC	120	551
2022	9/22/2022	9/23/2022	CSUF Library & Zoom Webinar	Diane Swonk, Chief Economist, KPMG Hester Peirce, Commissioner, SEC Richard Jones, Chair, FASB	120	600

4. Organizational Structure and Governance

The CCRG operates using the guidance of a governing board. The governing board is composed of 16 partners or executives from the most prominent accounting, corporate, and law firms in Orange County. Several of our board members are also involved in other areas at CSUF, at the highest levels: on the Dean’s Advisory Board and on the Foundation. The board meets at least once a year to evaluate the previous conferences and plan for the next conference.

The board organizes the timeline and content of the SEC Conference. They help with planning the program, providing input on growing the conference, making strategic alliances, procuring high quality speakers, identifying interesting speaker topics and improving the overall quality of the Conference. Board members reach out to high-quality speakers from public, private, and government financial and accounting sectors to invite them to speak at the Conferences.

The following individuals are CCRG Board Advisors for the 2022 - 2023 year:

Board of Directors		
Nick Bergamo	Partner	Moss Adams
Octavio Dossi Neto	Partner	BDO
Mark Hughes	Partner	Grant Thornton
Bala Iyer	Audit Committee Member	Skyworks Solutions
Tracee' Jones	Managing Partner	BDO
Ron Kent	Partner	RSM
Kristen McCarthy	Managing Partner	BDO
Shea Milligan	Partner	KPMG
Joseph Moderow '70	General Counsel (Retired)	United Parcel Service
James Moloney	Partner	Gibson, Dunn & Crutcher
Brenda Morris	Partner	CSuite Financial Partners
Jane Park	Senior VP, Sales	Toppan Merrill
Wayne Pinnell	Managing Partner	Haskell & White
Jon C. Smith	Partner	Ernst & Young
Summer M. Taylor	Managing Director	Deloitte

5. Resources and Sustainability

The CCRG generates revenue from the Annual SEC “Hot Topics” Conference. The cash flows generated from the last three SEC Conferences are as follows: (2020) Virtual Webinar \$44,000 (2021) Virtual Webinar \$51,500, and (2022) Hybrid \$54,800. Furthermore, the CCRG may receive annual grants from Mihaylo College which are mostly used to support students: hiring of students for research, providing them complimentary attendance at the SEC Conference and funding student networking events. This Center brings enormous visibility to the college (recognizing the uniqueness of the SEC Conference, our competitor school, UC Irvine, has in past years expressed interest in jointly hosting this event and is currently hosting a smaller event with limited success).

6. Highlights and Accomplishments

Several accomplishments highlight the Center’s activities. For twenty years, the Center has hosted the Annual SEC “Hot Topics” Conference with increasing participation from 80 attendees in 2003 to nearly 600 attendees in 2022. Other accreditations received include one from National Association of State Boards of Accountancy (NASBA), which allows the Center to offer Continuing Professional Education (CPE) credit to professionals who attend qualified events. The governing board has been strengthened by adding members from accounting firms, law firms and other businesses that have a local or national presence.

The CCRG hosts the Haskell & White Corporate Reporting and Governance Conference, which is a forum for professors, doctoral students and practitioners to present papers in the areas of accounting, finance and economics. The conference is unique because it blends theory with practice. California State University, Fullerton’s faculty review submitted papers from around the

globe. Over 100 people (students and faculty) attended last year's Haskell & White Academic Conference, including Wayne Pinnell, the Managing Partner of Haskell & White, who often speaks about the Current Trends in Auditing.

A significant achievement of the CCRG is our flagship Annual SEC "Hot Topics" Conference, providing important updates from the SEC, PCAOB and FASB, as well as industry leader panelists and Keynote speakers discussing critical topics of the year. During the last three years alone, CCRG provided more than 500 professionals with approximately six hours of CPE credits each. In addition to delivering CPE credit, the CCRG starting in 2015 continues to offer MCLE credit for Law Firms in Orange County. The programs provided below outline the depth and breadth of the Annual SEC "Hot Topics" Conference in 2022, 2021, 2020 and the Academic Conference in 2022, respectively.

23rd ANNUAL SEC "HOT TOPICS" CONFERENCE
CONFLICT, CLIMATE, CYBER: WHAT'S NEXT?
23 SEPT | IN PERSON AND VIRTUAL WEBCAST
 Hosted by **Diane Swank** (Chief Economist, CPA) and **Hester Peirce** (SEC Commissioner)
 CSUF Business and Economics

TIME	TOPIC	SPEAKERS
8 - 8:15 a.m.	Welcome Remarks	Butcher Swanson , Chair, College of Business and Economics
8:15 - 9 a.m.	Keynote Address	Diane Swank , Chief Economist, KPMG Mark Clemente , Orange County Managing Partner, KPMG LLP
9:05 - 9:50 a.m.	Keynote Address	Commissioner Hester Peirce , SEC Jim Rooney , Partner, Glass Lewis
9:50 - 10:00 a.m.	Break	
10:00 - 10:55 a.m.	Climate Change	Clark Pollalis , Director, Occidental, Lovell, Public Goods and Resource Asset Management Tom Kim , Partner, Green Dawn Securities Regulation and Compliance Laura McCracken , Deputy Lead of Quality Risk and Regulatory Compliance, Bank of America Ben Hill , Assistant Chief Counsel, Corporate Sustainability and Climate Change, Topper Merrill Ryan Korbala , Social Head of Sustainability, PIMCO
10:55 - 11:30 a.m.	FAIR Update	Richard Jones , Chair, FAIR Michael , Jan Smith , Partner, Ernst & Young
11:30 - 11:50 a.m.	Break	
11:50 a.m. - 12:25 p.m.	Cybersecurity	Don Burke , CIP and Partner, Woodhill & Sawyer Travis Thomas , Cybersecurity Specialist, EYCSIS and Alissa Deagle , Special Agent in Charge, FBI Alan C. Rosenbaum , Partner in the Privacy, Security and Data Protection Group, Blank Rome LLP
12:25 - 1:00 p.m.	Closing Remarks	Wendy Meade , Director, Center for Corporate Reporting and Governance

Masters of Ceremony: Wayne Pinnell, Haskell & White; Larry Schultz, Moss Adams

24th ANNUAL SEC "HOT TOPICS" CONFERENCE
TIPPING POINTS IN THE NEW ECONOMIC AND REGULATORY ENVIRONMENT
24 SEPT | VIRTUAL WEBCAST
 Hosted by **Hester Peirce** (SEC Commissioner)
 CSUF Business and Economics

TIME	TOPIC	SPEAKERS
8 - 8:15 a.m.	Welcome Remarks	Electronica Villar , Dean, Santa Clara State University, Fullerton
8:15 - 9 a.m.	Keynote Address	Angela Weiss , U.S. Trade Policy Institute, PhD, Monmouth, PhD, ILS
9:05 - 9:50 a.m.	SEC and PCAOB Updates	Berry Sherman , SEC David Wagner , SEC Debra Miller , PCAOB Michael , Ben , Samuel , Gilbert , Dunn & Coletta
9:50 - 10:20 a.m.	Break	
10:20 - 10:50 a.m.	Keynote Address	Bradley , Michael , Michael , SEC , Michael , Arno , Michael , Gilbert , Dunn & Coletta
10:50 - 11:20 a.m.	Navigating the Mosaic of ESG	John , Michael , SEC , David , Samuel , Greg , Thompson , SEC , Michael
11:20 - 11:30 a.m.	Break	
11:30 - 12:25 p.m.	FAIR Update	Richard , Michael , Chair , FAIR , Michael , Jan , Smith , Partner , Ernst & Young
12:25 - 12:50 p.m.	Emerging ESG and Activism Fundamentals	Aracelis , Michael , Quelhafer & Co. , Geoff & Paul , Yardley , MSA , Investment , ESG , ESG , ESG , ESG
12:50 - 1:00 p.m.	ESG	Wendy , Meade , Director , Center for Corporate Reporting and Governance

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19th ANNUAL SEC "HOT TOPICS" CONFERENCE
NAVIGATING THROUGH AND BEYOND THE CRISIS AND A LEGAL AND FINANCIAL REPORTING PERSPECTIVE
25 SEPT | VIRTUAL WEBCAST
 Hosted by **Diane Swank** (Chief Economist, CPA)
 CSUF Business and Economics

TIME	TOPIC	SPEAKERS
8 - 8:15 a.m.	Welcome Remarks	Thomas W. Lee , President, California State University-Fullerton
8:15 - 9 a.m.	Keynote Address	Diane Swank , Chief Economist, Grant Thornton LLP Michael , Garth , Chair , Grant Thornton LLP
9:05 - 9:50 a.m.	SEC and PCAOB Updates	Greg Cheng , SEC James Lu , SEC George Walker , PCAOB Michael , Ben , Samuel , Gilbert , Dunn & Coletta
9:50 - 10:00 a.m.	Break	
10 - 10:50 a.m.	Long-Term Assets and Long-Term Issues	Brian Swanson , RSM US LLP Robert Page , Investment Advisor, Schwab Michael , Robert , Schwab , KPMG
10:50 - 11 a.m.	Break	
11 - 11:25 a.m.	Keynote Address	Eliad Reisman , Communications, SEC Michael , Jim , Senior , Advisor , Dunn & Coletta
11:30 - 11:50 a.m.	HR Issues: Beyond the Glass Ceiling	Angela Weiss , Chair, Diversity & Inclusion Ray Reilly , Sp. for Govt. Michael , PHD , Mac , Carroll
11:50 - 12:25 p.m.	Closing Remarks	Wendy Meade , Director, Center for Corporate Reporting and Governance

Masters of Ceremony: Wayne Pinnell, Haskell & White; Larry Schultz, Moss Adams

18th HASKELL & WHITE CORPORATE REPORTING AND GOVERNANCE CONFERENCE
BLENDING THEORY WITH PRACTICE
 THURSDAY, SEPTEMBER 22

TIME	TOPIC	SPEAKERS
10:00 - 10:15 a.m.	Chair's Address	Wendy Meade , Director, Center for Reporting and Governance
10:15 - 10:30 a.m.	Chair's Welcome	Butcher Swanson , Chair, College of Business and Economics
10:30 - 10:45 a.m.	Session 1: Reporting and the Role of the Auditor	Michael , TRB
10:45 - 11:00 a.m.	Panel: Reporting with Complexity and Risk	Liz Wattson , Chair , Central University and MSU Wagner University , University of North Carolina Chandler Hendrix , University of North Carolina Chandler Hendrix , University of North Carolina
11:00 - 11:15 a.m.	The Impact of COVID-19 on ESG Reporting and Financial Reporting	Lisa Yee , Ph.D. , Colorado University Li Sheng , Ph.D. , Arizona University
11:15 - 11:30 a.m.	ESG Reporting	Michael Waltz , University of Texas at Austin Michael Waltz , University of Texas at Austin Michael Waltz , University of Texas at Austin
11:30 - 11:45 a.m.	Break	
11:45 - 12:00 p.m.	Session 2: ESG	Michael , TRB
12:00 - 12:15 p.m.	ESG Reporting: Sustainability and Management of ESG Risks	James Thomas , Arizona State University Yip Cheng , University of Wisconsin
12:15 - 12:30 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Henry Y. Hwang , University of California , Los Angeles Michael , TRB , Michael , TRB , Michael , TRB
12:30 - 12:45 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Elizabeth Chen , University of Texas at Austin Michael , TRB , Michael , TRB , Michael , TRB
12:45 - 1:00 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
1:00 - 1:15 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
1:15 - 1:30 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
1:30 - 1:45 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
1:45 - 2:00 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
2:00 - 2:15 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
2:15 - 2:30 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
2:30 - 2:45 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB
2:45 - 3:00 p.m.	ESG Reporting: The Impact of COVID-19 on ESG Reporting and Financial Reporting	Michael , TRB , Michael , TRB , Michael , TRB

Zoom Link: <https://fullerton.zoom.us/j/8859305991>

