

California State University, Fullerton
FEDERAL FINANCIAL CONFLICT OF INTEREST (FCOI) Form
National Science Foundation (NSF),
United States Department of Agriculture (USDA)
and other applicable federal agencies

PRINCIPAL INVESTIGATOR

Under CSUF's Conflict of Interest policy, the Principal Investigator and all other investigators/personnel who have or share responsibility for the design, conduct, or reporting of sponsored projects supported by NSF, USDA or other applicable federal agencies must disclose all significant financial interests of the investigator (including those of the investigator's spouse/registered domestic partner and dependent children) (i) that would reasonably appear to be affected by the research or educational activities funded or proposed for funding by NSF, USDA or other applicable federal agencies; or (ii) in entities whose financial interests would reasonably appear to be affected by such activities.

Reason for Disclosure: New Proposal Continuation/Additional Funding
 Final/Close out New/Change of Investigator

New Interest Obtained (Previous Proposal/Award #, if applicable): _____

Title of Proposal: _____

Project Period: _____

DISCLOSURE AND CERTIFICATION

The Principal Investigator's signature certifies that all individuals required to make disclosures of Significant Financial Interests have been listed on this form, or that no other individuals working on the research are required to make disclosures.

1. Are there other Investigators/key personnel who share responsibility for the design, conduct, or reporting of the research?

NO YES

If YES, those Investigators/key personnel should be named under item (2) below. Each identified personnel will be directed to this form and will be required to complete their disclosures.

2. **Do you, your spouse or registered domestic partner, or dependent children have a Significant Financial Interest (i) that would reasonably appear to be affected by the research or educational activities funded or proposed for funding by NSF, USDA and other applicable agencies; or (ii) in entities whose financial interests would reasonably appear to be affected by such activities. (See reverse for definitions of Significant Financial Interests)**

NO YES (If response is "Yes" please complete and attach Conflict of Interest Addendum Form)

Print or Type Name: _____

Signature: _____ Date: _____

List the names of other Investigator(s)/key personnel (this would include co-PIs, consultants, sub-recipients, or any others who have, or share, responsibility for the design, conduct, or reporting of this NSF, USDA and other applicable agencies - sponsored project):

_____	_____
_____	_____
_____	_____
_____	_____

CALIFORNIA STATE UNIVERSITY, FULLERTON
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Significant financial interests are involved and must be disclosed where one or more of the following financial interests of the investigator (and those of the investigator's spouse/domestic partner or dependent children) reasonably appears to be related to the investigator's Institutional responsibilities.

A *significant financial interest* exists if an equity interest that, when aggregated for the investigator and the investigator's spouse/domestic partner and dependent children, exceeds \$10,000 in value as determined through reference to public prices or other reasonable measures of market value, and represent more than a 5% ownership interest in any single entity

A *significant financial interest* exists when salary, royalties or other payments that, when aggregated for the investigator and the investigator's spouse/domestic partner of dependent children, have exceeded or are expected to exceed \$10,000 during any immediately preceding or following 12 month period.

For National Science Foundation (NSF), United States Department of Agriculture (USDA) and other applicable agencies, significant financial interest *does not* include:

- Salary, royalties or other remuneration from the applicant Institution
- Any ownership interest in the Institution, if the Institution is an applicant under the Small Business Innovation Program or Small Business Technology Transfer Program
- Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities
- Income from service on advisory committees or review panels for public or nonprofit entities
- Equity interest and/or salary, royalty and other payments do not exceed the thresholds in the section regarding NSF, USDA and other applicable agencies immediately above

PLEASE NOTE: Under the California Public Records Act, this information may be made available to the public upon request.